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*Secretary for
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State Water Resources Control Board

Division of Water Quality

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Gray Davis
Governor

To: Storm Water Permit Holder

RE: NOTICE OF TERMINATION OF COVERAGE UNDER THE GENERAL
INDUSTRIAL STORM WATER PERMIT (GENERAL PERMIT)

To terminate your coverage under the General Permit, please complete and submit the attached Notice of Termination (NOT) to your local Regional Water Quality Control Board (RWQCB). The addresses of each RWQCB, as well as staff contacts can be located on page 9 of the attached Annual Report.

You are still responsible for completing an Annual Report for the period after July 1 that your facility was required to be permitted. The completed Annual Report should be submitted along with your NOT.

Please note your annual fees will continue if the RWQCB denies your NOT. Submittal of a NOT does not guarantee termination. If your NOT is denied, you will be required to continue monitoring and reporting activities required by the General Permit. You will be notified of your NOT status by the RWQCB or State Water Resources Control Board.

Should you have any questions regarding this matter, please contact your local RWQCB or the Storm Water Unit at (916) 341-5538.

Sincerely,

Storm Water Unit
Division of Water Quality

Enclosure

NOTICE OF TERMINATION

Submission of this Notice of Termination constitutes notification that the facility operator identified below is no longer required to comply with the **Industrial Activities** Storm Water General Permit No. 97-03-DWQ.

I. WDID NO.

II. FACILITY OPERATOR

NAME _____ CONTACT PERSON _____
ADDRESS _____ TITLE _____
CITY _____ STATE _____ ZIP _____ PHONE _____

III. FACILITY SITE INFORMATION

FACILITY NAME _____ CONTACT PERSON _____
LOCATION _____ TITLE _____
CITY _____ STATE **CA** ZIP _____ PHONE _____
SIC CODE(S) ____ / ____ / ____ , ____ / ____ / ____ TYPE OF BUSINESS _____

IV. BASIS OF TERMINATION

- _____ 1. **Closed Facility.** The facility is closed and all closure, moving, and clean-up activities are complete.
- Date of closure ____ / ____ / ____ Are you moving to a new location in CA? ____ Yes ____ No
- If Yes, start date at new location? ____ / ____ / ____ Will you file new NOI? ____ Yes ____ No

NEW FACILITY INFORMATION

NAME _____ CONTACT PERSON _____
MAILING ADDRESS _____ TITLE _____
CITY _____ STATE _____ ZIP _____ PHONE _____

- _____ 2. **Light Industry Exemption.** Exposure of industrial activities, materials, and equipment to storm water has been eliminated (Applies only to certain facilities - see instructions). Complete and submit Attachment A.
- Date of evaluation: ____ / ____ / ____ Date exposure eliminated (if applicable): ____ / ____ / ____
- Planned date of next evaluation: ____ / ____ / ____
- _____ 3. **No Storm Water Discharge.** Storm water associated with industrial activity does not discharge to waters of the United States because:
- _____ a. the storm water is retained on site (such as in evaporation or percolation ponds).
- _____ b. the storm water is discharged to a municipal sanitary sewer systems or municipal combined sewer system.
- _____ c. the storm water is retained offsite (such as in evaporation or percolation ponds).
- _____ 4. **Not Required to be Permitted.** The facility is not required by federal regulations to be regulated by an industrial activities storm water NPDES permit.

_____ 5. **Regulated by Another Permit.** Discharge of storm water associated with industrial activity is specifically regulated by another general or individual NPDES permit.

NPDES Permit No. _____ Date coverage began ____/____/____

_____ 6. **New Facility Operator.** There is a new facility operator of the identified facility.

Date facility was transferred to new facility operator ____/____/____.

Have you notified the new facility operator of the storm water NPDES Permit requirements? Yes____ No

NEW FACILITY OPERATOR INFORMATION

NAME _____ CONTACT PERSON _____

MAILING ADDRESS _____ TITLE _____

CITY _____ STATE _____ ZIP _____ PHONE _____

V. ADDITIONAL TERMINATION INFORMATION

Are you attaching any additional termination information? Yes____ No

VI. FACILITY PHOTOGRAPHS

Have you attached facility photographs? Yes____ No____ (See Instructions)

VII. ANNUAL REPORT

Have you attached an Annual Report? Yes____ No____ (See Instructions)

VIII. CERTIFICATION

I certify under penalty of law that 1) I am not required to be permitted under the Industrial Activities Storm Water General Permit No. 97-03-DWQ, and 2) this document and all attachments were prepared under my direction and supervisions in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. I am aware that it is unlawful under the Clean Water Act to discharge storm water associated with industrial activity to waters of the United States if the discharge is not authorized by a NPDES permit, and there are significant penalties for submitting false information. I understand that the facility operator is still required to submit an annual report to the Regional Water Board by July 1. I also understand that the submittal of this Notice of Termination does not release a facility operator from liability for any violations of the General Permit or the Clean Water Act.

PRINTED NAME _____ TITLE _____

SIGNATURE _____ DATE ____/____/____

REGIONAL WATER BOARD USE ONLY

☐ **Approved** and sent to State Board for termination

☐ **Denied** and returned to applicant

Printed Name

Signature

Date

State of California
State Water Resources Control Board

**INSTRUCTIONS FOR COMPLETING
NOTICE OF TERMINATION OF COVERAGE UNDER
INDUSTRIAL ACTIVITIES STORM WATER GENERAL PERMIT NO. 97-03-DWQ**

SECTION I -- WDID NO.

The WDID NO. is a number assigned to each facility after the Notice of Intent is filed. The WDID number can be found on the annual invoice where it is referenced as the "Facility I.D. Number." If you do not know your facility's WDID No., please call the State Water Board or Regional Water Board (page 9 of the attached Annual Report) and request it prior to submitting the Notice of Termination.

SECTION II -- FACILITY OPERATOR

Enter the name, provided on the Notice of Intent, of the person, company, firm, public organization, or any other entity which owns the business or operations at the facility. The facility operator information may or may not be the same as the facility information requested in Section III.

SECTION III -- FACILITY SITE INFORMATION

Enter the facility's official or legal name, provided on the Notice of Intent, and provide the address, county, and contact person information for the facility. Where the location of the facility is different than the mailing address, a narrative description of the facility location must be provided. The contact person should be the plant or site manager who is completely familiar with the facility and responsible for General Permit compliance. Provide the Standard Industrial Classification (SIC) code(s) that are applicable to the facility and describe the type of business that is conducted at the facility. For closed facilities, however, provide the SIC code(s) and describe the type of business that had been conducted at the facility.

SECTION IV -- BASIS OF TERMINATION

Check the category which best defines the basis of your termination request. Provide dates and other information requested. If the categories provided do not fully or accurately identify the basis of your termination, attach an additional explanation and check the "Yes" box in Section V.

1. Closed Facility. This category applies when the facility is closed and all closure, moving, and clean-up activities are complete. This means that all industrial activities that are subject to federal storm water regulations have been discontinued and that the exposure of industrial equipment, materials, and waste to storm water has been eliminated. The facility operator should refer to the definition of "storm water associated with industrial activity" in Attachment 4 of the General Permit. Facilities that discontinue operations shall not be considered for termination if industrial equipment, materials, or waste remain exposed to storm water. The date when closure is complete shall be provided. If you are moving to a new facility requiring General Permit coverage, provide the name, address, and contact of the new facility.
2. Light Industry Exemption. This category applies only to certain facilities identified as category 10 on Attachment 1 of the General Permit (commonly referred to as "light industries") where exposure of industrial activities, materials, and equipment to storm water has been eliminated. Accidental spills, minor leaks, loss during loading and unloading, movement of unhoused equipment, emissions of dust or particles from stacks or air exhaust systems, and other type of intermittent sources should be considered when determining exposure. Complete and submit Attachment A entitled "Checklist to Evaluate Potential Storm Water Pollutant Sources". Provide the date the facility was evaluated and the date the next evaluation is planned. If you have taken steps to eliminate exposure of industrial activities, materials, and equipment to storm water, provide the date that exposure was eliminated.
3. No Storm Water Discharge. This category applies to facilities where storm water associated with industrial activity does not discharge to waters of the United States. These include facilities where all the storm water is retained on site, discharged to a municipal sanitary sewer system or municipal combined sewer system, or discharged to evaporation or percolation ponds offsite that do not discharge to waters of the United States.
4. Not Required to be Permitted. This category applies to facilities that are not required by federal regulations to be covered by a NPDES storm water permit. Attachment 1 of the General Permit identifies ten categories of industrial facilities required to obtain NPDES permits for discharge of storm water associated with industrial activity. A facility operator who has filed a Notice of Intent for coverage under the General Permit and later

determines that the facility is not included in the identified categories may request termination of coverage. Make sure that the SIC code(s) and type of business in Section III of the NOT form are accurate.

5. Regulated by Another Permit. This category applies to facilities where discharges of storm water associated with industrial activity are currently regulated under another general or individual NPDES permit. The general or individual NPDES permit number and date coverage began shall be provided.
6. New Facility Operator. This category applies when there is a new facility operator of the identified facility. The previous facility operator must submit a Notice of Termination and the new facility operator must submit a Notice of Intent and fee for coverage under the General Permit. Provide the date the new facility operator took responsibility for the facility and the new facility operator information. Note that the previous facility operator may be liable for discharges from the facility until the new facility operator files a Notice of Intent for coverage under the General Permit.

SECTION V -- ADDITIONAL BASIS OF TERMINATION INFORMATION

If none of the basis of termination in Section IV accurately reflect your basis for termination, answer "Yes" and attach a detailed explanation why you believe your facility is not required to be permitted.

SECTION VI -- FACILITY PHOTOGRAPHS

If category 1, 2, or 3 is checked in Section IV, attach photographs of all areas of the facility associated with industrial activity including any on-site or off-site storm water containment areas. If category 4, 5, or 6 is checked in Section IV, contact your Regional Water Board (page 9 of the attached Annual Report) to determine whether photographs must be submitted.

SECTION VII -- ANNUAL REPORT

You are responsible for submitting an Annual Report (Attachment B) for all compliance activities conducted between July 1 and the date the facility was no longer required to be permitted. In order to assist the Regional Board in processing your NOT, a completed Annual Report should be attached to your NOT. If you cannot submit an Annual Report, please contact your Regional Board office prior to submitting your NOT.

SECTION VIII -- CERTIFICATION

This section should be read by the facility operator. Please note that the facility operator is still required to prepare and submit a final annual report to the appropriate Regional Water Board office by July 1. The annual report must report all compliance activities that occurred during the current reporting period and prior to the date this Notice of Termination was submitted. The Notice of Termination must be signed by:

For a corporation: a responsible corporate officer. For a Partnership or Sole Proprietorship: a general partner or the proprietor, respectively. For a Municipality, State, or other Non-Federal Public Agency: either a principle executive officer or ranking elected official. For a Federal Agency: either the chief or senior executive officer of the agency.

Where To File

The Notice of Termination should be submitted to the Regional Water Board responsible for the area in which the facility is located. See attached State and Regional Boards Directory. If the Regional Water Board agrees with the basis of termination, the Notice of Termination will be transmitted to the State Water Board for processing. If the Regional Water Board does not agree with the basis of termination, the Notice of Termination will be returned. The Regional Water Board may contact you or inspect your facility prior to (or following) approving this Notice of Termination.

**CHECKLIST TO EVALUATE POTENTIAL STORM WATER POLLUTANT SOURCES
(COMPLETE ONLY WHEN CHECKING ITEM IV.2 ON NOT FORM)**

The purpose of this checklist is to 1) help you determine whether the exposure of industrial activities, materials, and equipment to storm water has been eliminated, and 2) help Regional Water Board staff to evaluate the adequacy of your pollution control activities and Notice of Termination (NOT). Please answer all questions. Answering "YES" to a question does not negate your NOT. For each "yes" answer you must explain what you are doing to eliminate or prevent exposure from the potential pollutant source. For example, if there are liquid storage tanks outdoors behind secondary containment but the storm water is collected and discharged to the sanitary sewer, then the potential source for storm water exposure from the storage tanks may be satisfactorily eliminated. For the purpose of this questionnaire, "outdoors" are areas of the facility that are not beneath permanent roofed structures.

1. All prohibited non-storm water discharges have been eliminated or otherwise permitted.

	Yes	No
a. Are materials or equipment cleaned outdoors?	_____	_____
b. Are wash or rinse waters generated on-site?	_____	_____
c. Are there any discharges (other than storm water) entering the storm drain system?	_____	_____
d. Do any drains under roofed areas discharge to the storm drain system?	_____	_____
e. Have there been any accidental spills into the storm drain system in the last year?	_____	_____
f. Are any process waste waters disposed of outdoors?	_____	_____

2. All significant materials related to industrial activity (including waste materials) are not exposed to storm water or authorized non-storm water discharges.

	Yes	No
a. Are there any materials stored outdoors?	_____	_____
b. Are there any materials handled outdoors?	_____	_____
c. Are there any outdoor loading docks?	_____	_____
d. Are there any above ground liquid or non-liquid storage tanks outdoors?	_____	_____
e. Are there any outdoor loading/unloading operations?	_____	_____
f. Are there any products or by-products manufactured or used outdoors?	_____	_____
g. Are there any waste products manufactured or used outdoors?	_____	_____
h. Are there any outdoor waste disposal areas?	_____	_____
i. Is any process wastewater disposed of outdoors?	_____	_____
j. Are there any drums, pallets, or containers outdoors?	_____	_____

k. Are materials handled/stored on immediate access roads/railways?	_____	_____
l. Are vehicles maintained or fueled outdoors?	_____	_____
m. Are any materials stored or disposed of in outdoor ponds or impoundments?	_____	_____
n. Are materials stored outdoors temporarily?	_____	_____
o. Does any manufacturing take place outdoors?	_____	_____
p. Have there been any spills or leaks outdoors in the last year?	_____	_____
q. Are there areas where materials remain exposed to storm water from past industrial activity?	_____	_____
 3. All industrial activities and industrial equipment are not exposed to storm water or authorized non-storm water discharges.		
	Yes	No
a. Are any material handling vehicles (such as forklifts) parked outdoors?	_____	_____
b. Is permanent industrial equipment located outdoors?	_____	_____
c. Is portable industrial equipment used outdoors?	_____	_____
d. Do any material handling vehicles (such as forklifts and trucks) or outdoor industrial equipment come into contact with materials?	_____	_____
e. Is there any unhoused rooftop equipment (such as air conditioners, scrubbers, etc.)?	_____	_____
 4. There is no exposure of storm water to significant materials associated with industrial activities through direct or indirect pathways such as from industrial activities that generate dust and particulates.		
	Yes	No
a. Are there any emissions of dust or particles from stacks or air exhaust systems?	_____	_____
b. Are there any emissions of dust or particles from other outlets such as windows, loading docks, etc.?	_____	_____
c. Have there been any spills or leaks associated with maintenance of stacks or air exhaust systems?	_____	_____

**STATE AND REGIONAL BOARD
CONTACT LIST**

Contact List is located at
www.swrcb.ca.gov/stormwtr/contact.html
under *Contacts*